



SUBCONTRACTOR  
PREQUALIFICATION STATEMENT

It is Roche Constructors' policy to prequalify our subcontractors. From the information provided, a maximum contract limit will be established per project for your firm in pursuing work with Roche. Establishment of this maximum contract value is a requirement of our surety company related to the Roche insurance programs. Your prequalification status must be updated annually and in the event of any principal contact change or changes in bonding or banking information.

Questions can be directed to our Prequalification Department at (970) 356-3611 or  
E-Mail to [prequal@rocheconstructors.com](mailto:prequal@rocheconstructors.com).

**Please use this form to submit all information requested,  
and attach the additional documents as indicated with ✓.**

**If you wish to attach additional documents or brochures for our use, please do so;  
however, those will not be used as a substitute for the items requested herein.**

**1. Company Information**

Legal Name of Firm: \_\_\_\_\_

DBA (doing business as): \_\_\_\_\_

Address: \_\_\_\_\_

(city, state, zip)

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

Web Address : \_\_\_\_\_

Federal Tax ID: \_\_\_\_\_ DUNS Number: \_\_\_\_\_

How many years has your organization been in business under its present business name? \_\_\_\_\_

✓ If your organization is other than a sole proprietorship, please attach a Secretary of State Certificate of Good Standing, Texas Franchise Tax Letter of Good Standing, or similar-type document applicable to the state in which you reside.

**2. Provide names and titles of your firm's principal contacts:**

Principal Contact: \_\_\_\_\_ Title: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

E-Mail: \_\_\_\_\_

Estimating Contact: \_\_\_\_\_ Title: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

E-Mail: \_\_\_\_\_

✓ On a separate sheet, please list all officers, owners, and principals of the company, OR check here if one of the contacts above is the only one:

**3. List the states in which you work, or attach a separate list:**

✓ Please attach a copy of your state contractor license, if applicable, and list all other applicable license numbers.

**4. Types of Projects:**

**Are you willing to bid on prevailing wage projects?**  Yes  No

**Indicate the Market Sectors in Which You Have Completed Work:**

- Retail  Health Care  Sitework
- K-12 Education  Office/Bank  Corrections
- Higher Education  Other (list): \_\_\_\_\_

**List any LEED Certified Projects You Have Completed:**

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**5. Minority Certification: (Please provide proof of certifications as required.)**

Is your firm certified?  Yes  No If yes, list certifications:

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**6. Project Information:**

List data for your three most-recent completed fiscal years

YEAR	ANNUAL SALES	LARGEST SINGLE CONTRACT VALUE AND GENERAL CONTRACTOR
	\$	\$
	\$	\$
	\$	\$

**Type and percentage of work you subcontract to others:** \_\_\_\_\_

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**7. Safety:**

List your Experience Modification Rate (EMR) for the last three years:

**(Disregard if business is three or fewer years old.)**

YEAR	_____	EMR	_____
YEAR	_____	EMR	_____
YEAR	_____	EMR	_____

Number of OSHA Recordable incidents over the past five years: \_\_\_\_\_

Does your firm have a written Safety Program?  Yes  No (Roche may request a copy of your safety program.)

Does your firm have a pre-hire drug-testing program?  Yes  No

**Roche is a drug-free workplace and may require your company to perform drug testing at your expense.**

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**8. Current Bonding and Banking Information:**

Is your company able to provide Performance and Payment bonds?  Yes  No Bond Rate: \_\_\_\_\_%

Surety Company: \_\_\_\_\_ Broker: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Phone: \_\_\_\_\_

✓ Please provide an current, annual letter from your Surety outlining the single project and aggregate amounts for which they will issue performance and payment bonds. (We are not asking for a bond.)

Name of your bank: \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Phone: \_\_\_\_\_

Line of Credit: \$ \_\_\_\_\_ Unused portion: \$ \_\_\_\_\_ Expiration date: \_\_\_\_\_

Is the company president or owner willing to execute a Personal Guaranty?  Yes  No

**✓9. Insurance Information: (Please attach a sample current certificate of insurance.)**

These are the minimum insurance requirements in our standard subcontract agreement, and by bidding projects with Roche Constructors, Inc., Subcontractor hereby agrees to comply with these requirements. These requirements are subject to change and may be different in the specifications provided for each project. If higher limits are required by an Owner, Subcontractor must comply with those limits.

Coverage	Statutory Limits
Workers' Compensation/Employers' Liability	
Each Accident	\$100,000
Disease – Policy Limit	\$500,000
Disease – Each Employee	\$100,000
Commercial General Liability – any applicable deductible must be shown on the subcontractor's insurance certificate	
General Aggregate – Per Project Aggregate	\$1,000,000
Products/Completed Operations Aggregate	\$1,000,000
Personal Injury and Advertising Aggregate	\$1,000,000
Each Occurrence	\$1,000,000
Automobile Liability	
CSL/Bodily Injury and Property Damage	\$1,000,000
Umbrella/Excess Liability	
Each Occurrence	\$1,000,000
Aggregate	\$1,000,000

**✓10. References**

On a separate sheet, please provide the company name, address, phone number, fax number, and contact person of **three major suppliers** from whom you have obtained materials and **three General Contractors** with whom you have done business.

**11. Subcontract and Professional Services Agreements**

Roche Constructors, Inc., has a standard subcontract agreement and a standard professional services agreement which are required to be used on all projects. By bidding projects and submitting proposals with Roche Constructors, Inc., we hereby agree to execute the applicable standard agreement and comply with its terms and conditions, including possibly being required to sign a personal guaranty or provide payment and performance bonds, or both.

**12. Other information**

- a. Has your firm ever done business under a previous name or DBA?  Yes  No  
If Yes, please explain \_\_\_\_\_
  
- b. Has your company or any predecessor organization been involved in any litigation, arbitration, or legal dispute with an Owner, Architect, or General Contractor?  Yes  No  
If Yes, please explain \_\_\_\_\_
  
- c. Are there any judgments, claims, arbitration proceedings or suits pending or outstanding against your firm or its officers or principals?  Yes  No  
If Yes, please explain \_\_\_\_\_
  
- d. Has Subcontractor, Principal, or Officer ever failed to complete a contract, been defaulted, or had a contract terminated?  Yes  No  
If Yes, please explain \_\_\_\_\_

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**✓ 13. Financial Statements**

Provide an annual copy of your latest (consolidated) financial statements, i.e., Balance Sheet, Income Statement, etc., if available, prepared by an outside accounting firm (Audited, Reviewed, or Compiled Financial Statements preferred) AND a copy of your most recent internal financial statements.

- If you wish to have your financial statements returned to you, please include a self-addressed, postage-paid envelope.
- **Your prequalification status cannot be determined until the prequalification statement is accurately completed and the necessary financial statements are provided.**

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**Completed by:** \_\_\_\_\_  
**Title:** \_\_\_\_\_  
**E-Mail Address:** \_\_\_\_\_  
**Date:** \_\_\_\_\_

PRINT FORM AND  
SUBMIT TO:

**Roche Constructors, Inc.**  
**Attn: Prequalification Department**  
**PO Box 1727**  
**Greeley, CO 80632**

**Or:** by Confidential E-mail  
[prequal@rocheconstructors.com](mailto:prequal@rocheconstructors.com)

**Please mark the envelope CONFIDENTIAL.**



✓ **CSI Divisions/Trades:** (Please circle or check the trade(s) that your company is interested in bidding)

01000	<input type="checkbox"/>	General Conditions	06620	<input type="checkbox"/>	Solid Surfacing	10800	<input type="checkbox"/>	Toilet, Bath, Laundry Access.
01140	<input type="checkbox"/>	Survey & Layout	07100	<input type="checkbox"/>	Dampproofing/Waterproofing	10880	<input type="checkbox"/>	Scales
01400	<input type="checkbox"/>	Material Testing	07130	<input type="checkbox"/>	Traffic Waterproofing	11010	<input type="checkbox"/>	Maintenance Equipment
01430	<input type="checkbox"/>	Security Guard Firms	07160	<input type="checkbox"/>	Cementitious & Reactive W.P.	11130	<input type="checkbox"/>	Audio-Visual Equipment
01435	<input type="checkbox"/>	Chemical Toilets	07170	<input type="checkbox"/>	Bentonite Waterproofing	11150	<input type="checkbox"/>	Parking Control Equipment
01440	<input type="checkbox"/>	Cleaning Services	07180	<input type="checkbox"/>	Traffic Coatings	11160	<input type="checkbox"/>	Loading Dock Equipment
01480	<input type="checkbox"/>	Jobsite Trailers	07210	<input type="checkbox"/>	Building Insulation	11170	<input type="checkbox"/>	Solid Waste Handling Equip.
01530	<input type="checkbox"/>	Temporary Fencing	07240	<input type="checkbox"/>	Exterior Insul. Finish Syst.	11190	<input type="checkbox"/>	Detention Equipment
02110	<input type="checkbox"/>	Excav., Removal, Haz. Matl	07410	<input type="checkbox"/>	Metal Roof And Wall Panels	11200	<input type="checkbox"/>	Water Treatment Equipment
02115	<input type="checkbox"/>	U.G Storage Tank Removal	07500	<input type="checkbox"/>	Membrane Waterproofing	11210	<input type="checkbox"/>	Supply/Treatment Pumps
02145	<input type="checkbox"/>	Groundwater Treatment Sys.	07510	<input type="checkbox"/>	Built-Up Bituminous Roofing	11220	<input type="checkbox"/>	Mixers And Flocculators
02210	<input type="checkbox"/>	Subsurface Investigation	07530	<input type="checkbox"/>	Single-Ply Membrane Roofing	11225	<input type="checkbox"/>	Clarifiers
02220	<input type="checkbox"/>	Demolition	07540	<input type="checkbox"/>	Single-Ply Roofing	11230	<input type="checkbox"/>	Water Aeration Equipment
02250	<input type="checkbox"/>	Shoring And Underpinning	07570	<input type="checkbox"/>	Coated Foamed Roofing	11240	<input type="checkbox"/>	Chemical Feed Equipment
02300	<input type="checkbox"/>	Earthwork	07620	<input type="checkbox"/>	Sheet Metal Flashing/Trim	11250	<input type="checkbox"/>	Water Softening Equipment
02310	<input type="checkbox"/>	Asphalt Paving	07720	<input type="checkbox"/>	Roof Accessories	11260	<input type="checkbox"/>	Disinfectant Feed Equipment
02360	<input type="checkbox"/>	Soil Treatment / Termite Cntrl	07810	<input type="checkbox"/>	Applied Fireproofing	11270	<input type="checkbox"/>	Fluoridation Equipment
02455	<input type="checkbox"/>	Driven Piles	07920	<input type="checkbox"/>	Joint Sealants	11285	<input type="checkbox"/>	Hydraulic Gates
02475	<input type="checkbox"/>	Caissons	08110	<input type="checkbox"/>	Steel Doors And Frames	11295	<input type="checkbox"/>	Hydraulic Valves
02500	<input type="checkbox"/>	Site Utilities	08210	<input type="checkbox"/>	Wood Doors	11300	<input type="checkbox"/>	Waste Treatment/Disposal Equip.
02620	<input type="checkbox"/>	Drywells	08300	<input type="checkbox"/>	Specialty Doors / Won Doors	11310	<input type="checkbox"/>	Sewage And Sludge Pumps
02632	<input type="checkbox"/>	Storm Drainage	08320	<input type="checkbox"/>	Detention Doors And Frames	11320	<input type="checkbox"/>	Grit Collecting Equipment
02660	<input type="checkbox"/>	Ponds And Reservoirs	08360	<input type="checkbox"/>	Overhead Doors	11330	<input type="checkbox"/>	Screening/Grinding Equipment
02770	<input type="checkbox"/>	Curbs And Gutters	08460	<input type="checkbox"/>	Automatic Entrance Doors	11335	<input type="checkbox"/>	Sedimentation Tank Equipment
02780	<input type="checkbox"/>	Unit Pavers	08500	<input type="checkbox"/>	Windows	11340	<input type="checkbox"/>	Scum Removal Equipment
02790	<input type="checkbox"/>	Athletic/Recreation Surfaces	08600	<input type="checkbox"/>	Skylights	11345	<input type="checkbox"/>	Chemical Equipment
02815	<input type="checkbox"/>	Fountains	08700	<input type="checkbox"/>	Hardware	11350	<input type="checkbox"/>	Sludge Hand./Treatment Equip.
02820	<input type="checkbox"/>	Fences And Gates	08800	<input type="checkbox"/>	Glazing	11360	<input type="checkbox"/>	Filter Press Equipment
02830	<input type="checkbox"/>	Retaining Walls	08950	<input type="checkbox"/>	Translucent Wall/Roof Assem.	11365	<input type="checkbox"/>	Trickling Filter Equipment
02840	<input type="checkbox"/>	Striping	09200	<input type="checkbox"/>	Metal Stud Framing	11370	<input type="checkbox"/>	Compressors
02870	<input type="checkbox"/>	Site Furnishings	09210	<input type="checkbox"/>	Cement Plaster	11375	<input type="checkbox"/>	Aeration Equipment
02890	<input type="checkbox"/>	Traffic Signs And Signals	09250	<input type="checkbox"/>	Gypsum Board	11380	<input type="checkbox"/>	Sludge Digestion Equipment
02895	<input type="checkbox"/>	Markers And Monuments	09310	<input type="checkbox"/>	Ceramic Tile	11385	<input type="checkbox"/>	Digester Mixing Equipment
02900	<input type="checkbox"/>	Planting, Landscape & Irrig.	09400	<input type="checkbox"/>	Terrazzo	11390	<input type="checkbox"/>	Pkg. Sewage Treatment Plants
02905	<input type="checkbox"/>	Native Plant Salvage	09510	<input type="checkbox"/>	Acoustical Ceilings	11400	<input type="checkbox"/>	Food Service Equipment
03150	<input type="checkbox"/>	Concrete Accessories	09650	<input type="checkbox"/>	Resilient Flooring	11600	<input type="checkbox"/>	Laboratory Equipment
03200	<input type="checkbox"/>	Concrete Reinforcement	09655	<input type="checkbox"/>	Resilient Sheet Flooring	12310	<input type="checkbox"/>	Manufactured Metal Casework
03300	<input type="checkbox"/>	Cast-In-Place Concrete	09670	<input type="checkbox"/>	Fluid Applied Flooring	12320	<input type="checkbox"/>	Manufactured Wood Casework
03350	<input type="checkbox"/>	Concrete Finishing	09678	<input type="checkbox"/>	Resilient Base and Accessories	12400	<input type="checkbox"/>	Ultrasonic Clean/Repair of Window Trtmts.
03400	<input type="checkbox"/>	Pre-Cast Concrete	09680	<input type="checkbox"/>	Carpet	12440	<input type="checkbox"/>	Bath Furnishings (Shower Curtains)
03520	<input type="checkbox"/>	L.W. Conc. Roof Insulation	09800	<input type="checkbox"/>	Special Coatings	12480	<input type="checkbox"/>	Entrance Mats & Frames
03530	<input type="checkbox"/>	Gypsum Concrete	09900	<input type="checkbox"/>	Paints And Coatings	12490	<input type="checkbox"/>	Window Treatments
04000	<input type="checkbox"/>	Masonry	09960	<input type="checkbox"/>	High-Performance Coatings	12600	<input type="checkbox"/>	Systems Furniture
04400	<input type="checkbox"/>	Stone	10115	<input type="checkbox"/>	Markerboards	12710	<input type="checkbox"/>	Aluminum Seating
04720	<input type="checkbox"/>	Cast Stone	10160	<input type="checkbox"/>	Metal Toilet Compartments	13110	<input type="checkbox"/>	Cathodic Protection
04910	<input type="checkbox"/>	Unit Masonry Restoration	10190	<input type="checkbox"/>	Cubicle Tracks and Curtains	13120	<input type="checkbox"/>	Pre-Engineered Structures
05120	<input type="checkbox"/>	Structural Steel	10200	<input type="checkbox"/>	Louvers And Vents	13122	<input type="checkbox"/>	Tensile Fabric Canopy
05140	<input type="checkbox"/>	Structural Aluminum	10260	<input type="checkbox"/>	Wall And Corner Guards	13125	<input type="checkbox"/>	Grandstands, Bleachers
05150	<input type="checkbox"/>	Wire Rope Assemblies	10270	<input type="checkbox"/>	Access Flooring	13130	<input type="checkbox"/>	Cable Supported & Fabric Structures
05200	<input type="checkbox"/>	Metal Joists	10300	<input type="checkbox"/>	Fireplaces And Stoves	13150	<input type="checkbox"/>	Swimming Pools
05300	<input type="checkbox"/>	Metal Deck	10340	<input type="checkbox"/>	Manufactured Exterior Specialties	13200	<input type="checkbox"/>	Storage Tanks
05330	<input type="checkbox"/>	Aluminum Deck	10350	<input type="checkbox"/>	Flagpoles	13230	<input type="checkbox"/>	Digester Covers/Appurtenances
05400	<input type="checkbox"/>	Cold-Formed Metal Framing	10400	<input type="checkbox"/>	Identification Devices	13280	<input type="checkbox"/>	Hazardous Material Remediation
05510	<input type="checkbox"/>	Metal Stairs And Ladders	10416	<input type="checkbox"/>	Computerized Directories/Msg. Boards	13400	<input type="checkbox"/>	Measurement/Control Instrument
05520	<input type="checkbox"/>	Handrails And Railings	10430	<input type="checkbox"/>	Exterior Signage	13700	<input type="checkbox"/>	Security Access/Surveillance
05530	<input type="checkbox"/>	Gratings	10440	<input type="checkbox"/>	Interior Signage	14200	<input type="checkbox"/>	Elevators
05560	<input type="checkbox"/>	Metal Castings	10450	<input type="checkbox"/>	Pedestrian Control Devices	14300	<input type="checkbox"/>	Escalators And Moving Walks
05650	<input type="checkbox"/>	Railroad Track/Accessories	10500	<input type="checkbox"/>	Lockers	14550	<input type="checkbox"/>	Conveyors
05700	<input type="checkbox"/>	Ornamental Metal	10520	<input type="checkbox"/>	Fire Protection Specialties	14580	<input type="checkbox"/>	Pneumatic Tube Systems
05720	<input type="checkbox"/>	Ornamental Handrails and Railing	10530	<input type="checkbox"/>	Protective Cover	14600	<input type="checkbox"/>	Hoists And Cranes
05810	<input type="checkbox"/>	Expansion Joint Covers	10536	<input type="checkbox"/>	Awnings	15300	<input type="checkbox"/>	Fire Protection Piping
06110	<input type="checkbox"/>	Wood Framing	10538	<input type="checkbox"/>	Canopies	15400	<input type="checkbox"/>	Plumbing Fixtures/Equipment
06250	<input type="checkbox"/>	Prefinished Paneling / FRP	10550	<input type="checkbox"/>	Postal Specialties	15700	<input type="checkbox"/>	Heating, Ventilating, A/C Equip.
06400	<input type="checkbox"/>	Architectural Woodwork	10605	<input type="checkbox"/>	Wire Mesh Partitions	15935	<input type="checkbox"/>	Building Systems Controls
06415	<input type="checkbox"/>	Countertops	10650	<input type="checkbox"/>	Operable Partitions	16000	<input type="checkbox"/>	Electrical
06500	<input type="checkbox"/>	Structural Plastics	10670	<input type="checkbox"/>	Shelving	16700	<input type="checkbox"/>	Communications
06600	<input type="checkbox"/>	Plastic Fabrications	10705	<input type="checkbox"/>	Exterior Sun Control Devices	17000	<input type="checkbox"/>	Special Systems